

Box 54  
0300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 09/998,551

Attorney Docket No.: 09820.155

Filing Date: November 29, 2001

Applicant: NELSON et al.

Title: **LABEL-FREE DETECTION OF NUCLEIC ACIDS VIA SURFACE PLASMON  
RESONANCE**

**TRANSMITTAL OF COMPUTER READABLE COPY  
OF SEQUENCE LIST**

**Box: Sequence**

U.S. Patent and Trademark Office  
Room 1B03-Mailroom  
Crystal Plaza Two  
2011 South Clark Place  
Arlington, VA 22202

To the Commissioner:

Responsive to the Notice to Comply With Requirements For Patent Applications  
Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed on June 11,  
2002, enclosed please find the following:

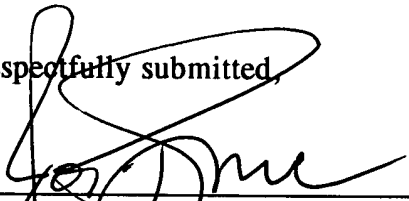
- 1) Statement that the content of the paper copy of the "Sequence Listing" and the  
"Sequence Listing" in computer readable form are the same as required by 37  
C.F.R. 1.821(g).
- 2) Sequence List in computer readable format and paper copy
- 3) Copy of Notice to Comply With Requirements For Patent Applications  
Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures

**APPLICANTS REQUEST THAT THE PREVIOUSLY SUBMITTED SEQUENCE  
LIST BE DELETED AND THE SUBSTITUTE SEQUENCE LIST ATTACHED HERETO  
BE ENTERED IN ITS PLACE.**

Having now complied with all of the requirements of 37 C.F.R. § 1.825(d), applicants respectfully request that this application be placed upon the files for examination.

Should the Examiner have any questions or comments with respect to the above-referenced application, the Examiner is requested to contact the undersigned attorney. The Commissioner is authorized to charge any fees or credit any overpayments relating to this application to deposit account number 18-2055.

Respectfully submitted,

  
Joseph T. Leone, Reg. No. 37,170  
DEWITT ROSS & STEVENS S.C.  
8000 Excelsior Drive, Suite 401  
Madison, Wisconsin 53717-1914  
Telephone: (608) 831-2100  
Facsimile: (608) 831-2106

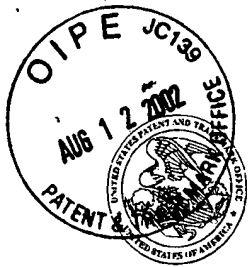
I hereby certify that this correspondence is being sent via Federal Express in an envelope addressed to:

Box: Sequence  
U.S. Patent and Trademark Office  
Room 1B03-Mailroom  
Crystal Plaza Two  
2011 South Clark Place  
Arlington, VA 22202

Federal Express No.: 7900 16996383

Date of Deposit: 8-9-02

Signature: Marcia Layk



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/998,551	11/29/2001	Bryce P. Nelson	09820.155

25005  
DEWITT ROSS & STEVENS S.C.  
8000 EXCELSIOR DR  
SUITE 401  
MADISON, WI 53717-1914

CONFIRMATION NO. 7980

## FORMALITIES LETTER



#11

Date Mailed: 08/02/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**


Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE